



Sustainable Finance Webinars

Environmentally Sustainable Economy in the New Landscape

Thursday 31 March 2022, 3:00pm - 6:00pm



EMPOWERING 
SUSTAINABLE GROWTH

ESG trends in asset management industry

Impacts on corporates



over **4 trillion euros**
(30% of the entire European universe)
the assets of funds classified in 2021 as
Article 8 ("light green") and Article 9
("dark green") under SFDR classification

(source: Mainstreet Partners)



It is expected that this **situation will change over subsequent quarters in favor of Article 8 funds**, as many asset managers are still in the midst of their ESG integration process and awaiting regulatory approval for Article 6 to 8 transitions

(source: Mainstreet Partners)



MiFID review is almost finalized and asset manager must incorporate ESG considerations into organizational requirements (including **suitability assessments**), risk management, conflicts and **product governance** arrangements

Asset managers are integrating ESG into the broader investment policies for all the investment funds including UCITS funds – investing in listed and pre-booking companies – and to AIFs – investing in private companies

As a consequence, corporates needs to integrate ESG as a condition for accessing to capital

ESG in private equity investment lifecycle

Leading practice to integrate ESG into investment policies



Best practice in ESG due diligence process

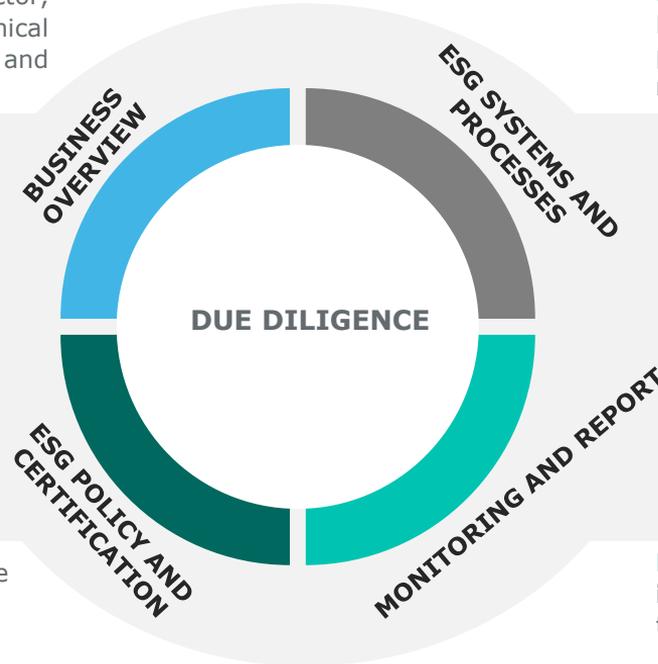
General questions on ESG/sustainability governance

A. prohibited activities, higher risk sector, major non-compliance concerns, geographical location/Sector. Material ESG **risks** and **opportunities**.

B. budget for ESG spend over the next 3-5 years.

C. separate standalone ESG policy which provides details of its approach and commitments to management of key ESG risks and opportunities.

D. key commitments included within the policy (e.g. compliance with regulations, continuous improvement, training, monitoring, etc.).



E. maturity status of its existing ESG policies. **Role and responsibility** (designated point person for day-to-day ESG matters). **ESG risk register**. Improvement programme.

F. experience/qualifications of employees. ESG improvement and training programme

G. specific **KPIs** used to monitor performance (e.g. carbon emission, safety statistics, etc). Frequency.

H. any **serious environmental incidents/regulatory** breaches in the last three years.

I. specific questions on ESG matters.

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